The **Gramm–Leach–Bliley Act (GLB)** allows financial institutions such as commercial banks, investment banks, securities firms, and insurance companies to consolidate. The GLB defines a financial institution as a company that offers financial products or services to individuals, like loans, financial or investment advice, or insurance. Included institutions under the act are non-bank mortgage lenders, real estate appraisers, loan brokers, some financial or investment advisers, debt collectors, tax return preparers, banks, and real estate settlement service providers.

In terms of compliance, the key rules under the Act include *The Financial Privacy Rule* which governs the collection and disclosure of customers' personal financial information by financial institutions. It also applies to companies, regardless of whether they are financial institutions, who receive such information. *The Safeguards Rule* requires all financial institutions to design, implement and maintain safeguards to protect customer information. The Safeguards Rule applies not only to financial institutions that collect information from their own customers, but also to financial institutions – such as credit reporting agencies, appraisers, and mortgage brokers – that receive customer information from other financial institutions. Every financial institution must comply with the GLB.

Privacy

GLB compliance is mandatory; whether a financial institution discloses nonpublic information or not, there must be a policy in place to protect the information from foreseeable threats in security and data integrity. Major components put into place govern the collection, disclosure, and protection of consumers' nonpublic personal information; or personally identifiable information

Financial Privacy Rule

The Financial Privacy Rule requires financial institutions to provide each consumer with a privacy notice at the time the consumer relationship is established and annually thereafter. The privacy notice must explain the information collected about the consumer, where that information is shared, how that information is used, and how that information is protected.

Safeguards Rule

The Safeguards Rule requires financial institutions to have a security plan that describes how the company plans protect clients' nonpublic personal information. The Safeguards Rule requires financial institutions to manage private nonpublic personal data.

Pretexting protection

Pretexting occurs when someone tries to gain access to personal nonpublic information without proper authority to do so. This may entail requesting private information while impersonating the account holder, by phone, by mail, by email, or even by "phishing" (i.e., using a phony website or email to collect data).

Print Tracker is Gramm–Leach–Bliley Act compliant because it does not come in contact with any consumer data or other personal information, nor does it store, process, transmit or consumer data or other personal information or engage in phishing activities.

Print Tracker is a software tool that gathers meters and status information from imaging devices like copiers and printers. It contacts network devices using their IP addresses and requests device information via the Simple Network Management Protocol (SNMP). Communication is fulfilled via port 161. If SNMP provided information is incomplete, Print Tracker may request data from the device's embedded web server over port 80. Collected information includes the device's network name, MAC address, model, serial number, total page counter, color page counter, prints, copies, faxes, scans, location, and status. Print Tracker CANNOT gather printed content or interpret user intent. If desired, IP addresses of devices may be blocked from reporting.

Imaging device data that Print Tracker captures is encrypted and sent to a secure web server using the HTTPS protocol over port 443. The secure web server addresses used include https://secure.tc101.com and https://secure.nexation4.com. All data transfer is encrypted and initiated on site at the deployment location. There is no remote access option with Print Tracker and Print Tracker CANNOT receive incoming transmission.